

GENERAL TERMS AND CONDITIONS

1. Old Mutual Investment Group is regulated by the Capital Markets Authority and the Uganda Retirement Benefits Authority as a fund manager
2. Units are traded at daily ruling prices and will be purchased by Old Mutual Investment Group in accordance with the Capital Markets (Collective Investment Regulations) Act and on terms and conditions set out in the relevant trust deed.
3. It is the obligation of the investor to send investment related instructions such as switching requests, withdrawal requests and the like to the fund manager either through email, fax or post office box
4. The investment will be carried out as per the authorized trust deed rules and regulations.
5. Completed application forms KYC (Know your client) and notification of deposits/cleared funds must be received for the investment to be executed. Subscription monies in cleared funds received will be invested on the next Dealing Day.
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7. Once an account has been opened, a statement of investment will be emailed to the investor on a monthly basis.
8. Old Mutual Investment Group is compliant with the Data Protection and Privacy Act 2019 Regulatory Requirements.

DECLARATION

1. I/We declare that the information provided in this application is correct to the best of my knowledge.
2. I/We have understood the investment objective and strategy of the fund selected.
3. I/We shall review all the statements sent by the fund manager and shall notify the fund manager of discrepancies if any.
4. I/We confirm that the investment is not being acquired either directly or indirectly by or on behalf of any person restricted by law of any relevant jurisdiction from acquiring the units.
5. Old Mutual Investment Group, the Fund Manager reserves the right to seek evidence of identity to comply with applicable money laundering regulations. In such case of delay or failure to provide satisfactory information, Old Mutual Investment Group may take such action as they see fit.

6. In consideration of you acting on my instructions issued by way of facsimile and/or email, I/We indemnify you against all claims, losses, costs that you may sustain, incur or be put to. I/We irrevocably authorize you to carry out such instructions issued by way of facsimile and/or email without reference to or further authority from me/us.
7. Repayments of repurchases will only be made into the bank account as detailed in the “Bank Account Details (Investor)” and only as instructed by the duly authorized signatory(ies). Under no circumstances will any repayments of repurchases be paid into third party account or account.
8. I/We hereby confirm that the money used for these investments do not arise from the proceeds of crime and/or other illicit activities. I/We understand that Old Mutual Investment Group may be required by law to disclose the nature of certain financial transactions. In such instances, I/We will cooperate and provide any such additional information required by law and shall not hold Old Mutual Investment Group liable if any legal action is taken against me/us with regard to the financial transaction.